



INDIAN INSTITUTE OF BANKING & FINANCE

(An ISO 21001:2018 Certified Institute)

Professional Development Centre – Western Zone

**“Virtual Training Program for Law Officers on
Legal Risk, Compliance & Recovery in Banks, NBFCs & FIs”
from 16th to 17th December 2025**



**Open to Members & Non-Members of IIBF
Self-sponsored individual registrations are also accepted.**

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BRIEF BACKGROUND

Established on 30th April 1928 as a company under Section 26 of the Indian Companies Act, 1913, the Indian Institute of Banking & Finance (IIBF), formerly known as the Indian Institute of Bankers (IIB), is a professional body of Banks, Financial Institutions, and their employees in India. IIBF during its 97 years of service been actively involved in examination, training & academics. It has emerged as a premier institute in banking and finance education.

Considering the emerging requirements of finance professionals in the ever-changing dynamic environment, IIBF has been providing quality training. The programs are designed in consultation with industry experts and human resources personnel with an endeavour to address the skill gaps on a continuous basis.

The regular offerings in varied areas prepare the finance professionals ahead of the impending change. IIBF has state-of-the-art training facilities at its Leadership Centre at Mumbai, and it has four Professional Development Centers (PDCs) at Mumbai, Delhi, Chennai, and Kolkata catering to the increasing demand for the focused training programmes.

ABOUT THE PROGRAMME

This focused training programme equips Law Officers of Banks, NBFCs, Co-operative Banks and Financial Institutions to confidently navigate the fast-changing legal and regulatory landscape. Covering all key pillars of legal risk—regulatory compliance, documentation integrity, recovery strategies, digital risk and officer accountability—it blends legal fundamentals with real-world applications, case laws and RBI directions.

Programme Highlights & Objectives:

1. **Strengthen Legal & Regulatory Competence** – Build a strong command over multi-regulator oversight, RBI Master Directions, SARFAESI, IBC and emerging ESG compliance.
2. **Enhance Recovery & Documentation Effectiveness** – Improve enforceability through better drafting, charge creation, prioritisation of securities and structured recovery approach.
3. **Build Risk-Resilient Legal Governance** – Enable participants to anticipate legal vulnerabilities, manage fraud and data exposure, and protect institutional and personal liability.

With insights into digital lending rules, DPDP Act, forensic triggers and revised criminal laws, the programme transforms law officers into strategic defenders of institutional legal health.

CONTENT OVERVIEW

- **Session 1: Regulatory & Legal Landscape** – Understanding layered legal oversight, RBI directions, emerging ESG compliance, and officer liability.
- **Session 2: Lender–Borrower Legal Relationship** – Enforceability of contracts, fair practices, defensible communication, and dispute handling.
- **Session 3: Documentation & Security Creation** – Ensuring legally sound documents, charge registration, limitation control, and fixing gaps safely.
- **Session 4: Recovery Mechanisms** – Comparative use of SARFAESI, DRT, Arbitration & OTS with practical notice drafting and decision tools.
- **Session 5: IBC & Insolvency Strategy** – Role of law officers in CIRP, claim filing, priority issues, and navigating evolving IBC norms.
- **Session 6: Legal MIS, Audit & Governance** – Building legal controls, advocate empanelment, MIS dashboards, audits, and board-level reporting.
- **Session 7: Digital Lending & Data Protection** – Legal risks in outsourcing, DPDP Act, fintech contracts, data breaches, and cross-border exposure.
- **Session 8: Criminal Law & Enforcement Protection** – New criminal code implications, AML/CFT risks, dealing with agencies, and officer safeguards.

METHODOLOGY

- Virtual (Online) interactive lectures, Case Studies, presentations and sharing of experiences on ZOOM.
- Live sessions by Faculty shall be conducted and participants can participate in the sessions from home or office using Laptop/Desktop/iPad/Smart Phones.
- Login details for accessing the sessions shall be shared on registered mail ids of participants 24 hours before the program.
- 75% attendance is compulsory for the issuance of certificate.

TARGET GROUP

Law Officers, Legal Advisers, Recovery Managers, Compliance Officers, and In-house Legal Teams of Banks, NBFCs, Co-operative Banks, Small Finance Banks, Housing Finance Companies, and other Financial Institutions. Participants from credit, recovery, audit, vigilance, and risk functions seeking legal orientation will also benefit.

DURATION

2 Days from 16th to 17th December 2025

Timings: 10.00 A.M. to 05.15 P.M. (First day kindly login by 09.45 am for inauguration)

FEES

₹ 5000/- plus GST 18% (₹ 900/-) aggregating to ₹ 5900/- per participant
(In case of TDS deduction, please send us TDS certificate).

Discounts are available for institutions sending bulk nominations.

Programme fees may be remitted to the credit of Institute's account as given below:

Beneficiary Name	: Indian Institute of Banking and Finance
Name of the Bank Branch	: State Bank of India, Vidyavihar (West), Mumbai.
SB Account No	: 42895503864
IFSC Code	: SBIN0011710
PAN No.: AAATT3309D	GSTN No.: 27AAATT3309D1ZS

In case of any queries, kindly contact

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**** Last Date of Registration is 15th December 2025 ****

To register, kindly email the following details

Sr. No.	Name (as required on certificate)	Institution Name	Branch/ Office/Department	Designation	Mobile No. (WhatsApp)	E-mail Id
1	Ms.					
2	Mr.					
Add additional rows as required						

Institution Details	
Name of Bank / FI	:
Address (where certificate is to be sent)	:
GST No.	:
PAN No.	:
Details of Nominating Authority	
Name	:
Designation	:
Mobile No.	:
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